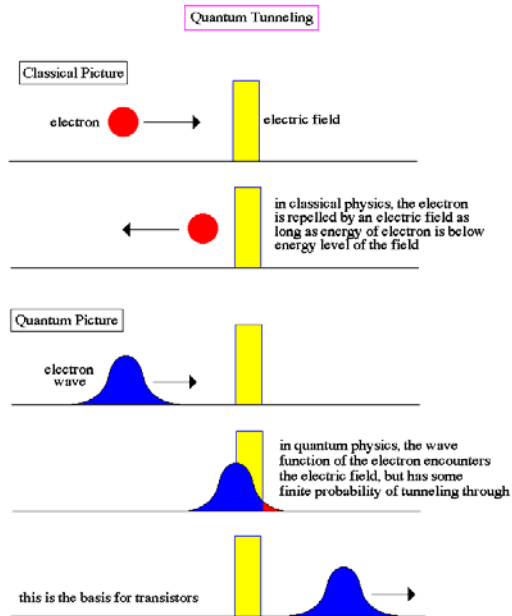


# Tunneling



## Tunneling

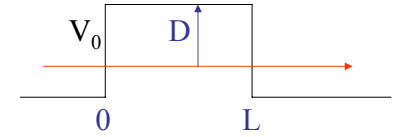
SHO and H-atom wavefunctions both extend into classically forbidden regions. Any physical consequences? not really...

But consider the following system:

I.  $V = 0$  for  $x < 0$

II.  $V = V_0$  for  $0 < x < L$

III.  $V = 0$  for  $x > L$



Schrodinger equation (1D):  $d^2\psi/dx^2 = -2m(E-V)/\hbar^2$

Let  $k^2 = 2mE/\hbar^2$   $k$  is real. Then

Possible solutions:  $\psi_I(x) = A \sin(kx)$  and  $\psi_{III}(x) = C \sin(kx)$

But suppose in region II that  $E < V_0$ . Let  $D = V_0 - E$ .

One solution in this region is  $\psi_{II}(x) = B \exp(-k'x)$

where  $k'^2 = 2m(V_0 - E)/\hbar^2 = 2mD/\hbar^2$

## Tunneling

$\psi_I(x) = A \sin(kx)$  and  $\psi_{III}(x) = C \sin(kx)$

$\psi_{II}(x) = B \exp(-k'x)$  where  $k'^2 = 2m(V_0 - E)/\hbar^2$

At  $x = 0$ ,  $\psi$  is continuous, so  $B = A$ .

$\psi_{II}(0) = A$ , but  $\psi_{II}(L) = A \exp(-k'L)$

So  $\psi_{II}(L)/\psi_{II}(0) = \exp(-k'L)$

$\psi_{II}^2(L)/\psi_{II}^2(0) = \exp(-2k'L) = \exp\{-2(2mD)^{1/2}L/\hbar\}$

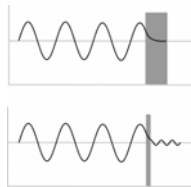
Probability that particle, starting on the left, emerges on right!

The bigger the  $\{ \}$  the smaller the tunnelling:

decreases as mass  $m$ , depth thru barrier  $D$ , thickness  $L$ , increase.

Lighter particles will tunnel through thinner lower barriers.

This derivation a bit sketchy (should use time dependent wave packets; worry about phases) but result qualitatively correct.



## Tunneling: applications

Radioactive Decay:

forces holding nucleons together very short-ranged;

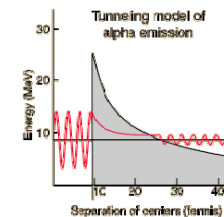
$\alpha$ -particle ( $\text{He}^{2+}$ ) away from nucleus strongly repelled.

thin barrier keeps particle inside nucleus!

$\alpha$ -particle emission is a tunneling phenomenon:

governed by rules of probability

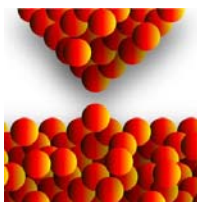
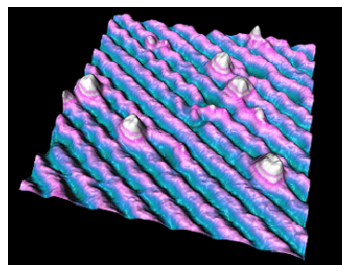
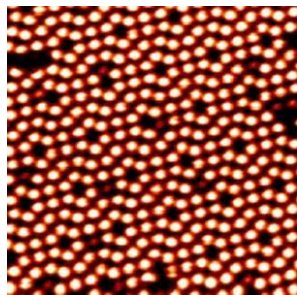
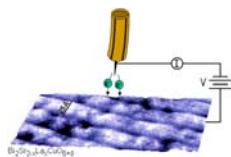
half-life depends on thickness and height of barrier.



## Tunneling: applications

### Scanning Tunneling Microscopy

electrons tunnel between atom-scale tip and surface  
tunneling, so current flow falls exponentially with height  
create image giving shape of surface on atomic scale!  
Nobel Prize (Binnig-Rohrer 1986)



## Tunneling: applications

### Electron transport in proteins and DNA

through-space and through-bond processes

(work of Prof. Magyar and his group)

rate of transfer falls exponentially with distance:

hallmark of a tunneling process!

### Non-Arrhenius reaction rates

At low T, rates very slow,  
but faster than > Arrhenius rate  
(curvature from line)  
very strong D/H isotope effect:  
hallmark of tunneling.

